# BOARD AUDIT & COMPLIANCE COMMITTEE MEETING

## AGENDA

Tuesday, August 15, 2023
4:00 p.m.

**PLEASE SEE PAGE 2 FOR MEETING LOCATION OPTIONS**

The Board may take action on any of the items listed below, unless the item is specifically labeled "Informational Only"

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**CALL TO ORDER**

I. Establishment of Quorum
II. Public Comments
III. Adjourn to Closed Session

IV. Re-Adjourn to Open Session

V. Action Resulting from Closed Session

VI. Action Item(s)

1. Review/Adopt Board Audit & Compliance Committee Minutes, June 20, 2023 *(ADD A - Pp 6-10)*
2. Status Update of Employee Conflict of Interest (COI) – *Informational Only*
3. Review Self-Referral Disclosure Protocol (SRDP) *(ADD B - Pp 11-12)*
4. Review Department of Justice Quarter 2 FCA Penalties and Organizations *(ADD C - Pp 13-14)*

VIII. Committee Member Agenda Suggestions

**FINAL ADJOURNMENT**

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**Board Audit & Compliance Committee Members**

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<tr>
<th>VOTING MEMBERS</th>
<th>NON-VOTING MEMBERS</th>
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<td>Michael Pacheco, Chair</td>
<td>Diane Hansen, President &amp; CEO</td>
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<td>Laurie Edwards-Tate, MS, Director</td>
<td>Kevin DeBruin, Esq., Chief Legal Officer</td>
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<tr>
<td>Linda Greer, RN, Director</td>
<td>Helen Waishkey, Corporate Compliance Officer</td>
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**ALTERNATE VOTING MEMBERS**

| Jeff Griffith, EMT-P – 1st Board Alternate | Lewann Baucknecht, Compliance Program Analyst |
| Lachlan Macleay, MD, Committee Appointed Physician Representative |

Note: If you have a disability, please notify us by calling 760.740.6375, 72 hours prior to the event so that we may provide reasonable accommodations

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1 3 minutes allowed per speaker with a cumulative total of 9 minutes per group. For further details & policy, see Request for Public Comment notices available at [Public Comments and Attendance at Public Board Meetings](#).
Board Audit & Compliance Committee

Location Options

The Linda Greer Conference Room
2125 Citracado Parkway, Suite 300, Escondido, CA 92029

- Elected members of the Board of Directors will attend at this location, unless otherwise noticed below – Members of the public may also attend at this location
- PLEASE TURN OFF CELL PHONES OR SET THEM TO SILENT MODE UPON ENTERING THE MEETING ROOM

https://www.microsoft.com/en-us/microsoft-teams/join-a-meeting?rtc=1

• Meeting ID: 279 816 564 856  Passcode: BA3vJB
• or Dial in using your phone at (929) 352-2216; Access Code: 695 301 673#

- Non-Board member attendees and members of the public may attend the meeting virtually utilizing the above link.
- New to Teams? Get the app now and be ready when your first meeting starts @ https://www.microsoft.com/en-us/microsoft-teams/download-app

1522 Brighton Glen, San Marcos, CA. 92078

- An elected member of the Board of Directors will be attending the meeting virtually from this location
I. PURPOSE:

A. It is the intention of the Palomar Health Board of Directors to hear public comment about any topic that is under its jurisdiction. This policy is intended to provide guidelines in the interest of conducting orderly, open public meetings while ensuring that the public is afforded ample opportunity to attend and to address the board at any meetings of the whole board or board committees.

II. DEFINITIONS:

A. None defined.

III. TEXT / STANDARDS OF PRACTICE:

A. There will be one-time period allotted for public comment at the start of the public meeting. Should the chair determine that further public comment is required during a public meeting, the chair can call for such additional public comment immediately prior to the adjournment of the public meeting. Members of the public who wish to address the Board are asked to complete a Request for Public Comment form and submit to the Board Assistant prior to or during the meeting. The information requested shall be limited to name, address, phone number and subject, however, the requesting public member shall submit the requested information voluntarily. It will not be a condition of speaking.

B. Should Board action be requested, it is encouraged that the public requestor include the request on the Request for Public Comment as well. Any member of the public who is speaking is encouraged to submit written copies of the presentation. C. The subject matter of any speaker must be germane to Palomar Health’s jurisdiction.

D. Based solely on the number of speaking requests, the Board will set the time allowed for each speaker prior to the public sections of the meeting, but usually will not exceed 3 minutes per speaker, with a cumulative total of thirty minutes.

E. Questions or comments will be entertained during the "Public Comments" section on the agenda. All public comments will be limited to the designated times, including at all board meetings, committee meetings and board workshops.

F. All voting and non-voting members of a Board committee will be seated at the table. Name placards will be created as placeholders for those seats for Board members, committee members, staff, and scribes. Any other attendees, staff or public, are welcome to sit at seats that do not have name placards, as well as on any other chairs in the room. For Palomar Health Board meetings, members of the public will sit in a seating area designated for the public.

G. In the event of a disturbance that is sufficient to impede the proceedings, all persons may be excluded with the exception of newspaper personnel who were not involved in the disturbance in question.

H. The public shall be afforded those rights listed below (Government Code Section 54953 and 54954).

1. To receive appropriate notice of meetings;
2. To attend with no pre-conditions to attendance;
3. To testify within reasonable limits prior to ordering consideration of the subject in question;
4. To know the result of any ballots cast;
5. To broadcast or record proceedings (conditional on lack of disruption to meeting);
6. To review recordings of meetings within thirty days of recording; minutes to be Board approved before release;
7. To publicly criticize Palomar Health or the Board; and
8. To review without delay agendas of all public meetings and any other writings distributed at the meeting. I. This policy will be reviewed and updated as required or at least every three years.

IV. ADDENDUM:

Original Document Date: 2/94
Reviewed: 8/95; 1/99; 9/05
Revision Number: 1 Dated: 9/20/05
Source Administrator: Hernandez, Lisa

Document Owner: DeBruin, Kevin

Collaborators: Carla Albright, Deanna Peterson, Deborah Hollick, Douglas Moir, Jami Pearlson, Jeffrey Griffin, Julie H Avila, Kelly Wells, Laurie Edwards-Tate, Megan Strole, Nancy Calabria, Nanette Irwin, Richard Engel, Sally Valle, Tanya L Howell, Thomas Krumara

Reviewers: Nancy Hayashi, Michelle Higashi, Michaela Saito

Approvals:
- Committees: (10/12/2022) Policies & Procedures
- Signers: Kevin DeBruin

Original Effective Date: Kevin DeBruin, Chief Legal Officer (10/25/2022 09:21AM PST)

Revised Reviewed: [09/20/2005 Rev. 1], [03/13/2009 Rev. 2], [04/14/2012 Rev. 3], [05/01/2014 Rev. 4], [02/03/2017 Rev. 5], [04/22/2019 Rev. 6], [07/10/2019 Rev. 7], [12/02/2021 Rev. 8], [10/25/2022 Rev. 9]

Next Review Date: 10/24/2025

Attachments: Public Comment Form

(REFERENCED BY) Public Comment Form

Paper copies of this document may not be current and should not be relied on for official purposes. The current version is in Lucidoc at